How To Use

THE HAZARDOUS MATERIALS REGULATIONS



2000

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Note: This publications was prepared as a training aid in the proper use of the Hazardous Materials Regulations (HMR), and should not be used to determine compliance with the HMR. Reproduction and distribution is permitted without further permission from the USDOT.

PREFACE

The Hazardous Materials Regulations (HMR) are issued by the U.S. Department of Transportation (USDOT), and govern the transportation of hazardous materials in commerce.

The primary goal of the HMR is the safety of the public and those whose occupation involves transportation of, or contact with, hazardous materials. To minimize risks, the USDOT has issued specific requirements for shipments of hazardous materials in transportation. The HMR are divided into three general areas:

- hazardous materials identification and hazard communication; [Shipping papers, markings, labels, and placards are used to communicate hazards of the materials to emergency responders, as well as, to those who handle hazardous materials routinely];
- packaging requirements; and
- operational rules.

This booklet is designed to give you a basic understanding of the HMR required for compliance with and enforcement of the regulations. The first step is learning to locate specific parts, subparts and references within the HMR. This basic knowledge and skill should be acquired before beginning training in any aspect of the regulations.

If you are not sure if the HMR apply to you and/or your business or occupation, answer the questions on page 15. Check your answers with those found on page 16.

After completing this booklet, it is suggested that you obtain additional in-depth training. Suggested sources of materials and training resources are listed on page 17.

INFORMATION/RECOMMENDATIONS

Information

The U.S. Department of Transportation issues the "Transportation" regulations in Title 49 – Transportation, Code of Federal Regulations (49 CFR). There are seven volumes of transportation regulations. The Hazardous Materials Regulations are in Parts 100-185 and govern the transportation of hazardous materials in all modes of transportation – air, highway, rail and water.

The Code of Federal Regulations (CFR) has the force of the law. The regulations are issued by Federal agencies to carry out the responsibilities imposed on those agencies by Congress.

Objectives

Upon completion of this workbook you should be able to:

- locate parts, subparts, sections, and references within the HMR;
- locate and tab each aspect of the HMR; and
- use the HMR to locate specific information.

Materials Checklist

To complete this workbook, you need:

- a current copy of the HMR, (49 CFR, Parts 100 to 185);
- paper and pen or pencil for notes and exercises;
- approximately 40 gummed tabs; and
- a straight edge marker or ruler.

Recommendations

The Regulations should be treated like a technical or reference book. Read carefully and always read other sections referenced. It is recommended that you tab your copy of 49 CFR, Parts 100 to 185. A recommended tabbing guide is on pages 6-7 of this publication.

STRUCTURE OF CODE OF FEDERAL REGULATIONS

Basic Outline

The Code of Federal Regulations follows the same basic outline used for most written material. Headings follow the descending order shown below:

Title: Title 49 – Transportation

Subtitle: Subtitle B – Other Regulations Relating To Transportation

Chapter: Chapter I – Research and Special Programs Administration,

Department of Transportation

Subchapter: Subchapter C – Hazardous Materials Regulations

Part: Part 172 – Hazardous materials table, special provisions,

hazardous materials communication, emergency response

information, and training requirements

Subpart* Subpart D – Marking

 Section*
 172.201

 Paragraph*
 172.201(a)

 Subparagraph*
 172.201(a)(1)

 Sub-subparagraph*
 172.201(a)(1)(i)

Turn to page 1 in 49 CFR, Parts 100-185. Notice the CFR title at the top of the page:

"Title 49 — Transportation"

The Parts contained in this volume are noted in parentheses: (This book contains parts 100 to 185.) The subtitle and chapter are also listed:

"Subtitle B – Other Regulations Relating to Transportation" Part

Chapter I – Research and Special Programs Administration,

Department of Transportation 106

Now locate the Chapter I index:

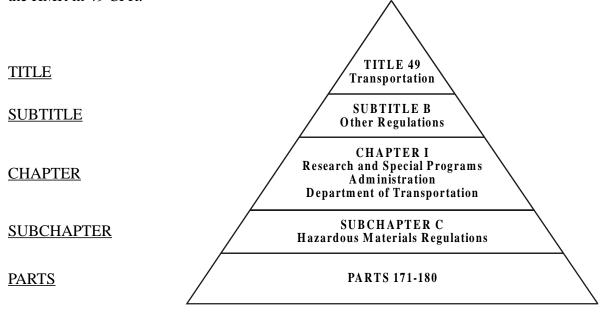
"Chapter I – Research and Special Programs Administration, Department of Transportation"

Notice that Subchapter C contains the Hazardous Materials Regulations (HMR).

^{*} Listed under Parts, as needed, in descending order.

Pyramid Diagram

The pyramid diagram below illustrates the HMR format just discussed and the location of the HMR in 49 CFR.



Rules of Construction, § 171.9

Unless specifically stated otherwise:

- singular words include the plural;
- plural words include the singular;
- masculine words include the feminine;
- "must" means required;
- "shall" means required;
- "should" means recommended, but not required;
- "may" means permitted, but not required;
- "includes" means includes, but not limited to; and
- "no person may" means **no person is required, authorized, or permitted to*****.

EXERCISE 1: PARTS

In 49 CFR, Parts 100-185, locate the Chapter I index and read the headings under Subchapters A, B and C.

Fill in the blanks designating the Part where each subject is addressed in the HMR.

Part (Number)	Heading
	Carriage by aircraft
	Carriage by rail
	Carriage by vessel
	Carriage by public highway
	General information, regulations and definitions
	Hazardous materials table, special provisions, hazardous materials communications, emergency response information, and training requirements
	Shippers – General requirements for shipments and packagings
	Specifications for packagings
	Specifications for tank cars
	Continuing qualifications and maintenance of packagings

EXERCISE 2: LOCATING REFERENCE NUMBERS

CFR Reference Numbers. Bold numbers referring to sections in the HMR are at the top outside corners of each page following the Chapter I index. These reference numbers have the same location and purpose as dictionary locator words at the top of each page in a dictionary. That is, the number on the top left is the CFR reference number that begins that page. The number on the top right of the facing page is the reference number for the last CFR reference number on that page.

It is important to use these reference numbers rather than page numbers to locate HMR information. The page numbers in 49 CFR are always changing because of revisions, additions, deletions, and the annual reprinting of the Code of Federal Regulations.

Turn to Subchapter C at the beginning of the HMR.

"Subchapter C – Hazardous Materials Regulations" begins with "Part 171 – General Information, Regulations, and Definitions."

Use the reference numbers at the top of the HMR. Notice that Part 171 begins with an index. The index lists headings within Part 171 by section numbers, not page numbers.

Scan the sections of Part 171. Notice the Part and Section numbers in **bold** at the top of each page; these numbers refer to the section that begins or ends the page.

Research and Special Programs Administration, DOT

§ 171.2

SUBCHAPTER C - HAZARDOUS MATERIALS REGULATIONS

PART 171 – GENERAL INFORMATION, REGULATIONS, AND DEFINITIONS

Sec. 171.1 Purpose and scope. 171.2 General requirements. 171.3 Hazardous Waste. 171.4 Marine pollutants. 171.6 Control numbers under the Paperwork Reduction Act. 171.7 Reference Material. 171.8 Definitions and Abbreviations. 171.9 Rules of construction. Units of measure. 171.10 Use of ICAO Technical 171.11 Instructions. 171.12 Import and export shipments. 171.12a Canadian shipments and packagings.

(b) Any person who, under contract with any department, agency, or instrumentality of the executive, legislative, or judicial branch of the Federal Governments, transports, or causes to be transported or shipped, a hazardous material or manufactures, fabricates, marks, maintains, reconditions, repairs, or tests a package or container which is represented, marked, certified, or sold by such person as qualified for use in the transportation of a hazardous material shall be subject to and comply with all provisions of the Federal hazardous materials transportation law, all orders and regulations issued thereunder, and all other substantive and procedural requirements of Federal, State and local governments and Indian tribes (except any such requirements that have been preempted by the Federal hazardous materials

EXERCISE 3: SUGGESTED TABBING OF THE HMR

Subject	Reference	Suggested Tab
General		
Exemptions, Preemptions, Registration	Part 107	107
Definitions/Abbreviations	171.8	DEF
Hazardous Materials Table	172.101	HMT
Appendix A (Hazardous Substances)	Appendix A	AP A
Appendix B (Marine Pollutants)	Appendix B	AP B
Special Provisions	172.102	SP PR
Shipping Papers	172.200	SHP PAP
Certification	172.204	CERT
Hazardous Waste Manifest	172.205	MANFST
Marking	172.300	MRK
Labeling	172.400	LBL
Placarding	172.500	PLAC
Emergency Response Information	172.600	ERI
Training	172.700	TRNG
Classes & Definitions	173.2	CLASS
Precedence Table	173.2a	PRE TBL
Waste Packaging Exception	173.12	LAB PK
Packaging-General Requirements	173.24	GEN PKG
Packaging-Add'l ReqNon-Bulk	173.24a	NB
Packaging-Add'l ReqBulk	173.24b	BULK
Packaging-Reuse	173.28	PKG REUSE
Poison (Toxic) Inhalation Hazard	173.115/132	PIH
Specific Packaging		
Packaging-Non-Bulk	Part 173, Subpart E	NB
Packaging Bulk	Part 173, Subpart F	BULK
Hazard Class Definitions/Divisions/Pac	king Groups	
Class 1 (Explosives)	173.50	CL 1
Class 2 (Gases)	173.115/116	CL 2
Class 3 (Flammable/Combustible Liquids)	173.120/121	CL 3
Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)	173.124/125	CL 4
Class 5 (Oxidizers, Organic Peroxides)	173.127/128/129	CL 5
Class 6 (Poisonous Materials/ Infectious Substances)	173.132/133/134	CL 6
Class 7 (Radioactive Materials)	173.403	CL 7
Class 8 (Corrosive Materials)	173.136/137	CL 8
Class 9 (Miscellaneous Hazardous Materials)	173.140/141	CL 9
Other Regulated Materials	173.144/145	ORM

EXERCISE 3: SUGGESTED TABBING OF THE HMR (Continued)

Subject	Reference	Suggested Tab	
Exceptions			
Class 2 (Gases)	173.306/307	CL 2 EX	
Class 3 (Flammable/Combustible Liquids)	173.150	CL 3 EX	
Class 4 (Flammable Solids)	173.151	CL 4 EX	
Class 5 (Oxidizers, Organic Peroxides)	173.152	CL 5 EX	
Div. 6.1 (Poisonous Materials)	173.153	6.1 EX	
Class 8 (Corrosive Materials)	173.154	CL 8 EX	
Class 9 (Miscellaneous Hazardous Materials)	173.155	CL 9 EX	
Other Regulated Materials	173.156	ORM-D EX	
Carrier Requirements			
Rail	PART 174	RAIL	
Aircraft	PART 175	AIR	
Vessel	PART 176	VES	
Highway	PART 177	HWY	
Packagings Specs			
Purpose and Scope	178.1	Scope	
Applicability & Responsibility	178.2	App	
Specs for Inner Receptacles	178.33	Inner	
Specs for Cylinders	178.35	Cyl	
Specs for Portable Tanks	178.245	PT	
Specs for Cargo Tanks			
– MC201	178.318	201	
– MC331	178.337	331	
– MC338	178.338	338	
– DOT406	178.346	406	
– DOT407	178.347	407	
– DOT412	178.348	412	
Pkgs for Class 7	178.350	RAM	
NB Performance Oriented Pkgs.	178.500	POP	
Tests – NB Pkgs.	178.600	NBTest	
Intermediate Bulk Container Stds.	178.700	IBCs	
Testing of IBCs	178.800	IBCTest	

EXERCISE 4: FINDING A SPECIFIC SECTION

A. Locate the definition of a hazard class, for example: Class 1 – Explosives.

- Start by looking in §171.8
- § 171.8 refers you to § 173.50
- Use the reference numbers at the top of the HMR pages to locate
 "§ 173.50 Class 1 definitions"
- Read the definition

B. Locate a specific reference, such as "\square 172.201(a)(1)(iii)"

- Use the reference numbers at the top of the HMR pages to find the number closest to § 172.201.
- Follow the step-by-step process illustrated below:

§ 172.201 1. Look at the top of the pages in 49 CFR for the bold number (§ 172.201). The first three numbers indicate the Part (172).

§ 172.201 General Entries

ous 3. Para aper, Gen

2.

- (a) *Contents*. When a description of hazardous material is required to be included on a shipping paper, that description must conform to the following requirements:
- (1) When a hazardous material and a material not subject to the requirements of this subchapter are described on the same shipping paper, the hazardous material description entries required by §172.202 and those additional entries that may be required by §172.203:
 - (i) Must be entered first, or
- (ii) Must be entered in a color that clearly contrasts with any description on the shipping paper of a material not subject to the requirements of this subchapter, except that a description on a reproduction of a shipping paper may be highlighted, rather than printed, in a contrasting color (the provisions of this paragraph apply only to the basic description required by § 172.202(a) (1) and (2), and (3), or
- (iii) Must be identified by the entry of an "X" placed before the proper shipping name in a column captioned "HM." (The "X" may be replaced by "RQ" if appropriate.)
- (2) The required shipping description on a shipping paper and all copies thereof used for transportation purposes, must be legible and printed (manually or mechanically) in English.

3. Paragraph – "(a)" the first paragraph under General Entries pertains to contents of the shipping paper. § 172.201(a)

The title of § 172.201 is "General Entries."

- 4. Subparagraph There are four subparagraphs under paragraph (a). § 172.201(a)(1)-(4)
- 5. Sub-subparagraph § 172.201(a)(1) has three sub-subparagraphs: (i)-(iii).

- 6. Find "§ 172.201(a)(1)(iii)" and complete this sentence: "Must be identified by the entry of an ______ placed before the proper shipping name . . ."
- 7. "X" is the correct answer.

EXERCISE 5: COMMUNICATING THE HAZARD

The proper shipping name, hazard class or division, packing group, markings, labels, and placards communicate the hazards of a material. To locate the proper shipping name and transportation requirements of a hazardous material, turn to the Hazardous Materials Table (HMT) in Part 172. The Hazardous Materials Table is the backbone of the regulations.

Directions: Use the **index** at the beginning of Part 172 to complete the exercise below. **Fill in the blanks.**

Subpart	Heading	Section				
A	General	172.1-172.3				
В	Hazardous Materials Table*					
В		172.102				
	Shipping Papers	172.200-172.205				
D		172.300-172.338				
Е	Labeling	172.400				
F	Placarding	172.500-172.560				
G	EmergencyInform	nation 172.600-172.604				
APPENDICES						
Appendix A to 172.101-						
Appendix B to 172.101-						
Appendix C to PART 172 –						

* includes: Appendix A – Hazardous Substances Appendix B – Marine Pollutants

FREQUENTLY USED REFERENCES

Part 106	Rulemaking Procedures
Part 107	Hazardous Materials Program Procedures (Exemptions, Preemption, Designation of Approval and Certification Agencies, Enforcement, and Registration)
Part 171	General Information, Regulations, and Definitions
 171.8 171.9 171.11 171.12 171.15/16 	Definitions and abbreviations Rules of construction Use of ICAO Technical Instructions Import and export shipments Hazardous material incidents - notify/report
Part 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
172.1172.101	Purpose and scope Hazardous Materials Table - Appendix A – Hazardous Substances
 172.102 172.200 172.300 172.400 172.500 172.602 172.704 	- Appendix B - Marine Pollutants Special provisions Shipping papers - Applicability Marking - Applicability General labeling requirements Placarding - Applicability Emergency response information Training requirements
Part 173	Shippers – General Requirements for Shipments and Packagings
 173.1 173.2 173.21 173.22 173.24 173.24a 173.24b 173.25 173.27 173.29 173.30 	Purpose and scope Hazardous materials classes and index to definitions Forbidden materials and packages Shipper's responsibility General Requirements for Packagings and Packages Additional requirements for non-bulk packagings and packages Additional requirements for bulk packagings Authorized packages and overpacks General requirements for transportation by aircraft Reuse, reconditioning, and remanufacture of packagings Empty packagings Loading and unloading of transport vehicles
• 173.301	General requirements for shipments of compressed gas in cylinders

SPECIFIC HAZARD CLASSES

References

•	173.50	Class 1 (Explosives)
•	173.115	Class 2, (Divisions 2.1, 2.2, & 2.3) (Gases)
•	173.120	Class 3 (Flammable Liquids)
•	173.124	Class 4, (Divisions 4.1, 4.2, and 4.3)
		Division 4.1 (Flammable Solid)
		Division 4.2 (Spontaneously Combustible Material)
		Division 4.3 (Dangerous When Wet)
•	173.127	Class 5, Division 5.1 (Oxidizers)
•	173.128	Class 5, Division 5.2 (Organic Peroxide)
•	173.132	Class 6, Division 6.1 (Poisonous Materials)
•	173.134	Class 6, Division 6.2 (Infectious Substances)
•	173.403	Class 7 (Radioactive Materials)
•	173.136	Class 8 (Corrosive Materials)
•	173.140	Class 9 (Miscellaneous Hazardous Materials)
•	173.144	Other Regulated Materials (ORM)

Packing groups are designated in Column 5 of the § 172.101 Table and indicate the degree of danger presented by the material. Packing groups are <u>not</u> assigned to all classes of materials. The shipper is responsible for determining the appropriate packing group.

Packing Group I	PG I	Great Danger
Packing Group II	PG II	Medium Danger
Packing Group III	PG III	Minor Danger

If more than one packing group is indicated for an entry, the packing group for the hazardous material must be determined using the criteria found in Subpart D of Part 173.

For example: Ketones, liquid, n.o.s. is listed in the HMT as a PG I, II, and III material. The shipper must determine the packing group for the material by applying the criteria in § 173.121, Class 3 – Assignment of packing group.

Packing Group	Flash Point	Initial Boiling Point
I		≤35°C (95°F)
II	<23°C (73°F)	$>35^{\circ}$ C (95°F)
III	≥23°C, ≤60.5°C (141°F)	>35°C (95°F)

< = less than; \leq = less than, or equal to; > = more than; \geq = more than, or equal to

STEP 2 SYMBOI	STEP 1 CLASSIF	Y		TEP 4 BELING]	STEP 3 DETERMINE PACKAGING Hazardous Materials	Table		STEP 5 IR/RAIL		STE WAT		
Symbols	Hazardous materials descriptions and proper shipping names	Hazard class or Division	Identifica- tion Numbers	PG	Label Codes	Special provisions		(8) ackaging 173.***)		(9) Quantity lin	nitations	(10 Vessel s	
	(0)	(2)	(4)	X		(7)	Excep- tions (8A)	Non- bulk (8B)	Bulk (8C)	Passenger aircraft/rail (9A)	Cargo aircraft only (9B)	Location (10A)	Other (10B)
(1)	(2) Sodium peroxide	(3) 5.1	(4) UN1504	(5) I	(6)	(7) A20, N34	None	211	None	Forbidden	15 kg	В	13, 75,
	Codium peroxidenti	3.1	0112501	•	3.2	A20, NO 1	Nonc		Nonc	Torbidden	15 kg	ь	106
	Sodium peroxoborate, anhydrous	5.1	UN3247	II	5.1		152	212	240	5 kg	25 kg	Α	13, 25, 106
	Sodium Persulfate	5.1	UN1505	III	5.1	A1	152	213	240	25 kg	100 kg	Α	

STEP	TASK	HMT COLUMN	STEP	TASK	HMT COLUMN
1	Determine proper shipping name*	2	4 Determine labels		6
	Hazard class or division Identification number	3	5	Determine air/rail quantity limits	9A & 9B
	Packing group (PG)	5	6	Determine water requirements	10A & 10B
2	Determine symbol	1	*Note:	Check the Appendices. The material may also be a h substance (Appendix A) or a marine pollutant (Appendix A)	
3	Determine Packaging Exceptions Non-Bulk Packaging Bulk Packaging Determine if any special provisions for the material apply	8 8A 8B 8C 7		substance (Appendix A) of a marine political (Appe	ndix B).

HAZARDOUS MATERIALS TABLE - SUMMARY

The process of complying (or determining compliance) with the HMR, always centers around the § 172.101 Hazardous Materials Table. **Review Subpart B, § 172.101(a)-(k)**. A very brief description of this process follows:

Step One: Identify the material by:

•	Proper Shipping Name	(Col. 2)
•	Hazard Class or Division	(Col. 3)
•	Identification Number	(Col. 4)
•	Packing Group, (if appropriate)	(Col. 5)

REMEMBER, ALWAYS CHECK THE APPENDICES TO THE HMT (172.101)

Appendix A – The hazardous material may also be a **hazardous substance**. Appendix B – The hazardous material may also be a **marine pollutant**.

Step Two: Check symbols in Column 1 and determine if restrictions

(A, D, G, I or W) apply. 172.101(b)

Step Three: Determine Packaging – For the material selected,

determine the authorized packaging. (Col. 8A, 8B, 8C)

Check Special Provisions – For the material selected,

determine if any Special Provisions apply. (Col. 7)

Step Four: Label the package(s) – For the material selected,

determine the required hazard warning label(s). (Col. 6)

[Note: Marking (§ 172.300) and Placarding (§ 172.500), as required.]

Step Five: Check Air or Rail Limitations – For transportation by

air and/or rail, determine packaging limits. (Col. 9A, 9B)

Step Six: Check Water Limitations – For transportation by water,

determine vessel shipment requirements. (Col. 10A, 10B)

Additional requirements

170 00 4

•	1/2.204	Shipper's certification
•	172.301/302	Package Marking
•	172.402	Additional Labeling
•	172.504	Placarding
•	172.602/604	Emergency Response Information and Telephone Number

HAZARDOUS MATERIALS TABLE – SUMMARY (Continued)

Appendix A: Hazardous Substances

- 1. The hazardous material is also a hazardous substance when:
 - the material is listed in Appendix A (HMT, § 172.101),
 - concentration limits are exceeded,

and

• the amount **in one package**, equals or exceeds the reportable quantity (RQ).

Note: Petroleum lubricants and fuel products are not considered hazardous substances.

2. Read the footnotes to Appendix A; the footnotes may affect the proper shipping name selection.

Appendix B: Marine Pollutants

- 1. The hazardous material is also a marine pollutant when:
 - the material is listed in Appendix B (HMT, § 172.101),

and

- when in solution or mixture, the concentration by weight is:
 - 10% for material listed in Appendix B,

or

- 1% for material identified as "severe marine pollutant" in Appendix B.
- 2. Requirements specific to marine pollutants:
 - apply to all Marine Pollutants transported by vessel

and

• do not apply to non-bulk shipments by rail, air, or highway.

TEST YOUR KNOWLEDGE OF THE HMR

<u>A.</u>	Ar	Are You Subject to the HMR?					
			Yes	No			
	1.	Do you prepare and offer and/or transport any hazardous material(s) by motor vehicle, rail car, aircraft or vessel?					
	2.	Do you manufacture, repair or recondition containers for the transportation of hazardous materials?					
<u>B.</u>	Do	You Understand the Scope of the HMR?					
			T	F			
	1.	Industry standards have been incorporated into the hazardous materials regulations.					
	2.	Some words have different meanings when used in conjunction with the HMR.					
	3.	Air shipments may be transported in accordance with the International Civil Aviation Organization (ICAO) Technical Instructions.					
	4.	Import shipments require certification prior to acceptance by the initial carrier in the US.					
	5.	Shipments can move through the United States under Canadian regulations.					
	6.	Hazardous materials incidents/spills may require immediate and/or written notification to the USDOT.					

TEST YOUR KNOWLEDGE OF THE HMR – ANSWERS

A. Are You Subject to the HMR?

If you answered **Yes** to question 1 and/or question 2, the Hazardous Materials Regulations apply to you. You must comply with all aspects of the HMR, including training of hazmat employees (see Subpart H, § 172.700.) You may also be required to register with and pay a fee to the USDOT (see Subpart G, § 107.601.)

Recommendation: Read §§ 171.1 and 171.2 of the HMR to determine how the regulations apply to you.

B. Do You Understand the Scope of the HMR?

1. True

Industry standards have been incorporated by reference and have the force of the law. See § 171.7.

2. True

Some words do have different meanings when used in conjunction with the HMR. Become familiar with the definitions in § 171.8 and use the Glossary on page 18 of this document.

3. True

Air shipments may be transported in accordance with the ICAO Technical Instructions. For more details read § 171.11.

4. True

Import shipments require certification prior to acceptance by the initial carrier in the US. See § 171.12.

5. True

Shipments prepared according to Canada's TDG regulations may enter and/or transit the United States. See § 171.12a.

6. True

Hazardous materials incidents and/or spills meeting the conditions in 171.15 require notification to the USDOT. Please read the details in §§ 171.15 and 171.16.

Recommendation: You may benefit from DOT's Hazardous Materials Transportation Training Program. See page 17 for Training Resources.

RESOURCES FOR TRAINING COURSES AND MATERIALS

The Office of Hazardous Materials Initiatives and Training (OHMIT) of the Research and Special Programs Administration is responsible for the development and distribution of hazardous materials information, reference publications, and training materials. These training materials are produced in a variety of formats (printed, electronic and audio-visual) and cover topics ranging from compliance with and enforcement of the HMR in 49 CFR, Parts 100-185) to emergency response. For more information, contact:

U.S. Department of Transportation Research and Special Programs Administration Office of Hazardous Materials Initiatives and Training, (DHM-51) Washington, DC 20590-0001

> Internet E-Mail: Training@rspa.dot.gov Internet Website: http://hazmat.dot.gov Fax: (202) 366-7342 Phone: (202) 366-2301

TRANSPORTATION SAFETY INSTITUTE (TSI) – The Transportation Safety Institute provides training in hazardous materials transportation (Compliance & Enforcement, Awareness for Initial Response, Cargo Tanks, Intermodal, etc.) For information about the courses contact:

Transportation Safety Institute
Hazardous Materials and Transportation Safety Division, DTI-30
4400 Will Rogers Parkway, Suite 218
Oklahoma City, OK 73108

Internet E-Mail: HAZMAT@TSI.jccbi.gov Fax: (405) 946-4345 Phone: (405) 949-0036 Ext. 374

COOPERATIVE HAZARDOUS MATERIALS ENFORCEMENT DEVELOPMENT (COHMED) -

Participation is open to Federal, State, and local agencies and industry personnel involved in enforcement, emergency response or planning and preparedness. There is no membership fee. The primary goal of COHMED is to facilitate communication between organizations involved in hazardous materials transportation issues. There is a nominal fee for each conference. A quarterly newsletter and training (emergency response and compliance and enforcement) are available to **all** attendees. For more information, contact:

U.S. Department of Transportation Research and Special Programs Administration Office of Hazardous Materials Initiatives and Training, (DHM-52) Washington, DC 20590-0001

> Fax: (202) 366-7342 Phone: (202) 366-4900

GLOSSARY

Acronyms and Common Abbreviations

AAR Association of American Railroads
API American Petroleum Institute

ASME American Society of Mechanical Engineers
ATA American Trucking Associations, Inc.
ATA Air Transport Association of America

BOE Bureau of Explosives (AAR)

Btu British Thermal Unit C Celsius or Centigrade

CAER Community Awareness and Emergency Response Program (CMA)

CAS No. Chemical Abstract Service Number

CDG Carriage of Dangerous Goods Subcommittee

CERCLA Comprehensive Environmental Response, Compensation,

and Liability Act of 1980 (Superfund)

CERT Council of Energy Resource Tribes

CFR Code of Federal Regulations
CGA Compressed Gas Association

CHEMTREC Chemical Transportation Emergency Center (CMA)

CHLOREP The Chlorine Emergency Plan

CHRIS Chemical Hazards Response Information System (USDOT/USCG)

CMA Chemical Manufacturers Association

COE Committee of Experts on the Transportation of Dangerous Goods (UN)

COFC Container-on-Flatcar

COHMED Cooperative Hazardous Materials Enforcement Development

CVSA Commercial Vehicle Safety Alliance
DEA Drug Enforcement Administration (US)

DOC Department of Commerce (US)
DOD Department of Defense (US)
DOE Department of Energy (US)
DOJ Department of Justice (US)

DOT Department of Transportation (US) EPA Environmental Protection Agency (US)

ERG Emergency Response Guidebook

FAA Federal Aviation Administration (USDOT) FEMA Federal Emergency Management Agency

FHSA Federal Hazardous Substance Act

FHWA Federal Highway Administration (USDOT)

FIFRA Federal Insecticide, Fungicide, and Rodenticide Act FMCSR Federal Motor Carrier Safety Regulations (USDOT)

FRA Federal Railroad Administration (USDOT)

HM Hazardous Materials HAZMAT Hazardous Materials

HMIS Hazardous Materials Information System (USDOT/RSPA)

Acronyms and Common Abbreviations (Continued)

HMR Hazardous Materials Regulations

HMT Hazardous Materials Table

HMTA Hazardous Materials Transportation Act

HMTUSA Hazardous Materials Transportation Uniform Safety Act

IAEA International Atomic Energy Agency
IATA International Air Transport Association

IATA/DGR International Air Transport Association, Dangerous Goods Regulations

IBC Intermediate Bulk Container

ICAO International Civil Aviation Organization

ICAO/TI International Civil Aviation Organization/Technical Instructions

IFFA International Federation of Forwarding Agents

ID No. Identification Number
IM Intermodal Portable Tank

IME Institute of Makers of Explosives

IMDG Code International Maritime Dangerous Goods Code (IMO)

IMO International Maritime Organization

INTEREC International Regulations Committee (HMAC)

LEPC Local Emergency Planning Committee

Ltd. Qty. Limited Quantity

MAWP Maximum Allowable Working Pressure

MCSAP Motor Carrier Safety Assistance Program (USDOT/FHWA)

MOU Memorandum of Understanding
MRHT Marked Rated Holding Time
MSDS Material Safety Data Sheet

NA North America

NASTTPO National Association of SARA Title III Program Officers
NCITD National Council on International Trade Documentation
NCCEM National Coordination Council of Emergency Management

NCP National Contingency Plan

NEMA National Emergency Management Association

NESHAP National Emissions Standards for Hazardous Air Pollutants

NFPA National Fire Protection Association

NIOSH National Institute of Occupational Safety and Health

NMFC National Motor Freight Classification

NOAA National Oceanic and Atmospheric Administration

N.O.I. Not Otherwise Indexed

N.O.I.B.N. Not Otherwise Indexed by Name

N.O.S. Not Otherwise Specified

NPGA National Propane Gas Association

NRC National Response Center (USDOT/USCG)

NRC Non-Reusable Container

NRC Nuclear Regulatory Commission (US)

NRT National Response Team

NSWMA National Solid Waste Management Association

Acronyms and Common Abbreviations (Continued)

NTTC National Tank Truck Carriers

NVOCC Non-Vessel Operating Common Carrier

OHMIT Office of Hazardous Materials Initiatives and Training (USDOT/RSPA)

OHMS Office of Hazardous Materials Safety (USDOT/RSPA)

OIC Officer in Charge

OPA Oil Pollution Act (1990)

ORANGE BOOK UN Recommendations on Transport of Dangerous Goods

ORM Other Regulated Materials

OSHA Occupational Safety and Health Administration

OWTT One Way Travel Time

PG Packing Group

PIH Poison-Inhalation Hazard

PL Public Law

POP Performance-Oriented Packaging

P.s.i. Pounds per square inch

P.s.i.a. Pounds per square inch absolute
P.s.i.g. Pounds per square inch gauge
QT Quenched and Tempered
RAM Radioactive Materials

RCRA Resource Conservation and Recovery Act (1980)
RL Regulated Limit (Canadian equivalent to RQ)

RQ Reportable Quantity

RSPA Research and Special Programs Administration

SARA Superfund Amendments and Reauthorization Act of 1986

SERC State Emergency Response Commission

SCF Standard Cubic Foot STC Single Trip Container TC Transport Canada

TDG Transportation of Dangerous Goods (Canada)

TI Transport Index

TIH Toxic Inhalation Hazard

Title III "Emergency Planning and Community Right-to-Know"

section of SARA

TOFC Trailer-On-Flatcar

TSCA Toxic Substance and Control Act

TSI Transportation Safety Institute (USDOT/RSPA)

TTMA Truck Trailer Manufacturers Association

UN United Nations

UFC Uniform Freight Classification
UHWM Uniform Hazardous Waste Manifest

USCG United States Coast Guard

VNTSC Volpe National Transportation Systems Center (USDOT/RSPA)

Hazardous Materials Transportation Terms

NOTE: See 49 CFR § 171.8 for in-depth definitions

Bulk Packaging A packaging (transport vehicle or freight container) in which

hazardous materials are loaded with no intermediate form of containment, when the internal volume is greater than:

(1) 450 liters (119 gallons) for a liquid;

(2) 400 kilograms (882 pounds) for a solid; or

(3) 454 kilograms (1,001 pounds) for a gas.

Note: A bulk packaging is **not** a vessel or barge.

Cargo Product, including its packaging.

Cargo Tank A bulk packaging that is loaded or unloaded without being

removed from the motor vehicle. (The tank may or may not be

permanently attached to the motor vehicle).

Chart 11 DOT's Hazardous Materials Marking, Labeling and

Placarding Guide.

Compatibility Relates to possible interactions between a material and

(1) its container, or

(2) other products that may be loaded or transported together.

Compressed Gas Material or mixture meeting criteria in § 173.115(b), (absolute

pressure of 280 kPa [41 psia]) at 20°C [68° F] or greater).

Compound Two or more ingredients that are chemically united.

Consist Sequentially lists the location of each rail car in a train. May

serve as the shipping paper if the consist has all the information

required by the USDOT.

Dangerous Goods International term for hazardous materials.

Documentation Completed forms required to accompany hazardous materials.

For example, shipping papers, certificates, emergency response

information, or manifests.

Emergency Response

Information

Information that can be used in the mitigation of an incident

involving hazardous materials.

Etiologic Agent See Infectious Substance.

Exceptions Relief from certain regulations; applies to everyone.

Exemptions Specific USDOT-written relief from certain Hazardous

Materials Regulations, for shippers, carriers, or manufacturers.

(Part 107, Subpart B of 49 CFR)

Flash Point The minimum temperature at which a substance gives off

flammable vapor(s). Substance will ignite when coming in

contact with a spark or flame.

Forbidden A material that is prohibited from being offered or accepted

for transportation. This prohibition does not apply if these

materials are:

diluted, stabilized, or incorporated into devices

classed in accordance with Part 173. (See § 172.101(d)(1)).

Generator An EPA term or word used for manufacturer and/or shipper.

Grants Planning and training grants to deal with hazardous materials

emergencies.

Gross Weight Total weight of packaging, including its contents.

Hazard Class A group of hazardous materials that share dangerous

characteristics. The USDOT has identified nine hazard classes

based on the dangers posed in transportation.

Hazard Division A means of sub-dividing similar hazardous materials which

require different hazard communications.

A substance or material capable of posing an unreasonable risk **Hazardous Material**

to health, safety, or property when transported in commerce.

Hazardous Substance A material listed in Appendix A to § 172.101 **and** the quantity

in one package equals or exceeds the reportable quantity (RQ). Material may be in solution or mixture. This definition does not

apply to petroleum (lubricants or fuel) products.

Note: For radionuclides, refer to Table 2 of Appendix A to

the HMT.

Hazardous Waste Any material that is subject to the Hazardous Waste Manifest

requirements of the EPA. Refer to 40 CFR, Part 262.

Hazardous Waste

A specific shipping document required by the USDOT Manifest

and the EPA for hazardous waste shipments. Also referred to as

the Uniform Hazardous Waste Manifest (UHWM).

If all USDOT requirements [i.e., the basic description (proper shipping name, hazard class/division, ID No., and packing

group) are entered on the UHWM, the manifest may be used as a

shipping paper. (49 CFR, § 172.205)

Identification Number (**ID No.**)

The UN or NA "four-digit number" assigned to hazardous materials, i.e., UN 1203. ID numbers are listed in Col. 4 of the HMT. Used for identification and emergency response.

In-association-with

Refers to the placement of required additional entries on the shipping paper. Usually placed after the complete description for a hazardous material. May be any format, as long as it is clearly part of the entry.

Incident

Unintentional release of hazardous material(s).

Infectious Substance

Living microorganism or its toxin which may cause severe, disabling or fatal disease. Term synonymous with Etiologic Agent. (49 CFR, § 173.134)

Irritating Material

A liquid or solid substance. Upon contact with fire or air, the material gives off dangerous or intensely irritating fumes. **Irritating Material does not include any poisonous material.**

Labels

Hazard class identifiers required on hazardous materials packaging; 100 mm diamond shaped (square-on-point); identify hazard class by symbol, color and sometimes, by name.

Limited Quantity (Ltd. Qty.)

The amount of material for which there is a specific labeling or packaging exception.

Marine Pollutant

Hazardous material which is:

- listed in **Appendix B** to § 172.101 and,
- when in a solution or mixture of one or more marine pollutants, is packaged in a concentration (for materials listed in Appendix B) which equals or exceeds:
 - (1) 10% by weight of the solution or mixture, or
 - (2) 1% by weight of the solution or mixture for materials that are identified as severe marine pollutants.

Markings

Information required to be placed on the outside of the shipping container; may include one or more of the following:

- proper shipping name;
- identification number;
- UN standard packaging marks;
- instructions/caution.

Mitigate

To make less severe. Measures to prevent, or lessen the results of a release of hazardous materials.

Mixture

A material composed of one or more compounds.

Motor Vehicle Common Carrier A motor carrier that transports property for hire.

Motor Vehicle Contract Carrier A motor carrier that transports only property for those shippers

with whom they have a contractual agreement.

Motor Vehicle Private Carrier A motor carrier that transports property of which it is owner, lessee, or bailee. Such transportation is for the purpose of sale,

lease, or rent.

Multiple Hazards A material meeting the definition of more than one hazard

class/division. The material must be classed according to its position on the Precedence of Hazard Table in 49 CFR, § 173.2a.

Net Weight A measure of weight referring only to the contents of a package.

It does not include the weight of any packaging material.

Non-Bulk Packaging A packaging which has an internal volume equal to or less than:

(1) 450 liters (119 gallons) for a liquid; or(2) 400 kilograms (882 pounds) for a solid; or

(3) 454 kilograms (1,001 pounds) for a gas.

Overpack An enclosure that is used by a single consignor. An overpack

provides protection or convenience in the handling of a package.

It may consolidate two or more packages.

Package Packaging **plus** its contents.

Packaging A receptacle and any other components or materials used to

provide containment. The packaging must perform its containment function in conformance with the HMR.

Provides general relief from certain specification packaging

requirements of the HMR.

Packaging Exemption Specific written administrative relief granted by RSPA from

certain requirements of the HMR. Packaging must provide

equivalent levels of safety.

Packing Group Assigned based on the degree of danger presented by the

hazardous material:

PG I – Great Danger PG II – Medium Danger PG III – Minor Danger

Performance-Oriented

Packaging

Container and any other components or materials necessary for the packaging to perform its containment function. Specific testing procedures must be performed and so marked on the

packaging.

Person Any of the following:

Individual

- Firm
- Co-Partner
- Corporation
- Company
- Association
- Joint-stock association (including any trustee, receiver, assignee, or similar representative thereof), or

Government or Indian tribe, (agency or instrumentality of any government or Indian Tribe).

Placard Hazard class identifiers required on transport vehicles or

freight containers; placards are 273 mm diamond shaped (square-on-point) and hazard class color-coded. May require

numbers for identification and emergency response.

(Part 172, Subpart F)

Placarding Process of determining and applying correct placards.

(Part 172, Subpart F)

Portable Tank A bulk packaging designed to be loaded on and temporarily

attached to a transport vehicle or vessel.

Preemption State or local requirements that conflict with the Federal

> hazardous materials transportation law may be set aside by the Federal Government. (See Subpart C – Preemption, § 107.201.)

Primary Label Label for primary hazard of the material. Class number in lower

part of label is required. (49 CFR, § 172.402)

Proper Shipping Name Name listed in Roman type in the HMT, § 172.101. *Italicized*

names are not proper shipping names.

Radioactive Materials Materials having a specific activity of greater than 0.002

microcuries per gram. (49 CFR, § 173.403)

Registration A person who transports or offers for transportation certain

hazardous materials is required to register and pay a fee to the

USDOT. (See § 107.601.)

Registration for

Procedure for persons who manufacture, assemble, inspect, Cargo Tanks test, certify or repair a cargo tank or cargo tank motor vehicle.

(See § 107.501.)

Reportable Quantity

(RQ)

RQ means the quantity specified in Column 2 of Appendix A to § 172.101 for any material identified in Column 1 of the appendix.

Residue The hazardous materials remaining in a packaging, tank car, etc.

after unloading.

Shipping Papers Manifest, bill of lading, shipping order, or document to

> accompany hazardous materials shipments. Must contain information required by USDOT. (See Part 172, Subpart C.)

Solution Homogenous liquid mixture of two (2) or more chemical

compounds. The mixture will not separate during transportation.

Packaging specifically designed for a particular class or classes **Specification Packaging**

of hazardous material. Packaging identified by UN standard

packaging and/or USDOT specification number.

State Variations Listed in the ICAO Technical Instructions; governmental options

differing from the ICAO Technical Instructions.

Strong Outside

Container

Outermost enclosure for protecting inner packages and

preventing unintentional release of contents during

transportation.

Subsidiary Labels Identify the subsidiary or secondary hazard. Class number must

not be shown on the label. (See § 172.402.)

Technical Name A recognized chemical name currently used in scientific and

> technical handbooks, journals and texts. Trade names may not be used as technical names, unless they are in the HMT.

Transport Index The dimensionless number on the label of a radioactive

materials package. Designates the degree of control necessary

during transportation.

Unit Load Device Any type of freight container, aircraft container, aircraft pallet

with a net, or aircraft pallet with a net over an igloo.

Notes

Notes



U.S. Department of Transportation

Research and Special Programs Administration

